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| U.S. DEPARTMENT OF COMMERCE, PATENT AND TRADEMARK OFFICE | | DATE: <u>07</u> July 2006 (<u>07.07.2006</u>) |
| TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371 | | U.S. APPL. NO. (if known): <u>2005/05452</u> Not Yet Assigned |
| INTERNATIONAL APPLICATION NO.: PCT/IL2005/000027 | INTERNATIONAL FILING DATE: 9 January 2005 (09.01.2005) | PRIORITY DATE CLAIMED: 7 January 2004 (07.01.2004) US |
| TITLE OF INVENTION: APPARATUS AND METHOD FOR MONITORING AND AUDITING ACTIVITY OF A LEGACY ENVIRONMENT | | |
| APPLICANT(S) FOR DO/EO/US: KRELBAUM, Boaz; MINTZ-DOV, Orna | | |
| Applicant hereby submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: | | |
| <p>1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.</p> <p>2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.</p> <p>3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 USC 371(f)). The submission must include items(5), (6), (9) and (21) indicated below.</p> <p>4. <input checked="" type="checkbox"/> The US has been elected (Article 31).</p> <p>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)):</p> <p style="margin-left: 20px;">a. <input checked="" type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau).</p> <p style="margin-left: 20px;">b. <input type="checkbox"/> has been communicated by the International Bureau.</p> <p style="margin-left: 20px;">c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US)</p> <p>6. <input type="checkbox"/> A English translation of the International Application as filed (35 U.S.C. 371(c)(2)).</p> <p>7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))</p> <p style="margin-left: 20px;">a. <input type="checkbox"/> are attached hereto (required only if not transmitted by the International Bureau).</p> <p style="margin-left: 20px;">b. <input type="checkbox"/> have been communicated by the International Bureau.</p> <p style="margin-left: 20px;">c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</p> <p style="margin-left: 20px;">d. <input checked="" type="checkbox"/> have not been made and will not be made.</p> <p>8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</p> <p>9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</p> <p>10. <input type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</p> <p>ITEMS 11 to 20 BELOW CONCERN OTHER DOCUMENT(S) OR INFORMATION INCLUDED:</p> <p>11. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</p> <p>12. <input checked="" type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</p> <p>13. <input checked="" type="checkbox"/> A FIRST preliminary amendment.</p> <p>14. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment</p> <p>15. <input checked="" type="checkbox"/> A substitute specification.</p> <p>16. <input type="checkbox"/> A change of power of attorney and/or address letter.</p> <p>17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter2 and 35 USC 1821 - 1825</p> <p>18. <input type="checkbox"/> A second copy of the published international application under 35 USC 154(d)(4)</p> <p>19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 USC 154(d)(4)</p> <p>20. <input checked="" type="checkbox"/> Other items or information: TRANSMITTAL FORM WITH FEE CALCULATION; INTERNATIONAL PUBLICATION WO 2005/067209 A1; WITH INTERNATIONAL PUBLICATION DATE 21 JULY 2005 (21.07.2005) WHICH CONSISTS OF 53 PAGES INCLUDING 2 COVER SHEETS CONTAINING THE ABSTRACT, 34 PAGES TEXTUAL SPECIFICATION, 5 PAGES OF 37 CLAIMS, 12 SHEETS DRAWINGS; PCT/IPEA/416 NOTIFICATION OF TRANSMITTAL OF THE INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY; PCT/IPEA/409 INTERNATIONAL PRELIMINARY EXAMINATION REPORT WITH ANNEXES TO BE EXAMINED; PRELIMINARY AMENDMENT WITH ATTACHMENTS A, B AND C TO BE EXAMINED; EXECUTED INVENTOR'S DECLARATION; EXECUTED ASSIGNMENT TO INTELLINX LTD. OF OR YEHUDA, ISRAEL WITH RECORDATION COVER SHEET; PCT/ISA/220 NOTIFICATION OF TRANSMITTAL OF THE INTERNATIONAL SEARCH REPORT AND THE WRITTEN OPINION; PCT/ISA/210 INTERNATIONAL SEARCH REPORT; PCT/ISA/237 WRITTEN OPINION.</p> | | |

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| U.S. APPLICATION NO. (If known, not yet assigned) 10/585452 | INTERNATIONAL APPLICATION NO. PCT/IL2005/00027 | DATE 7 July 2006 67.07.2006 |
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| | CALCULATIONS | PTO USE ONLY |
|--|--------------------|--------------|
| 21. Basic national fee \$ 300 | \$ 300 | |
| 22. Examination fee If the written opinion prepared by the ISA/US or the international preliminary examination report prepared by IPEA/US and all claims satisfy Provisions of PCT Article 33(1)-(4) \$ 0 All other situations..... \$200 | \$ 200 | |
| 23. Search fee (37 CFR 1.492(b) If the written opinion of the ISA/US or the International preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4) \$ 0 Search fee (37 CFR 1.445(a)(2) has been paid on the international application to the USPTO as an International Searching Authority.....\$100 Interantional Search Report prepared and provided to the Office..... \$400 All other situations..... \$500 | \$ 400 | |
| ___ Additional fee for specification and drawings filed in paper over 100 sheets (excluding Sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets fo paper or fraction thereof. <div style="text-align: right;">TOTAL OF 21, 22 & 23=</div> | \$ 0 \$ 900 | |

| | | |
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| Surcharge of \$130.00 for furnishing the oath or declaration later than ___ 20 ___ 30 months from the earliest claimed priority date (37 CFR 1.492(e)). | \$ | |
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| CLAIMS | NO. FILED | NO. EXTRA | RATE | | |
|--|-----------|-----------|-------------|---------------|----------|
| TOTAL | 20 -20= | 0 | X \$ 50.00 | \$ | 0.00 |
| INDEPENDENT | 4 - 3= | 1 | X \$ 200.00 | \$ | 200.00 |
| Multiple dependent claims(s) (if applicable) | | | + \$360.00 | \$ | 0.00 |
| TOTAL OF ABOVE CALCULATIONS = | | | | \$ | 1,100.00 |
| Reduction by 2 for asserting small entity, if applicable. (Note 37 CFR 1.9, 1.27, 1.28). | | | | \$ | -550.00 |
| SUBTOTAL = | | | | \$ | 550.00 |
| Processing fee of \$130.00 for furnishing the English translation later than ___ 20 ___ 30 months from the earliest claimed priority date (37 CFR 1.492(f)). | | | | \$ | 0.00 |
| TOTAL NATIONAL FEE = | | | | \$ | 550.00 |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property + | | | | \$ | 40.00 |
| TOTAL FEES ENCLOSED = | | | | \$ | 590.00 |
| | | | | Amount to be: | |
| | | | | refunded | \$ |
| | | | | charged | \$ |

U.S. APPLICATION NO.
(if known) not yet assigned

INTERNATIONAL APPLICATION NO.

DATE: 07 July 2006 (07.07.2006)

PCT/ IL2005/0000027

10/585452

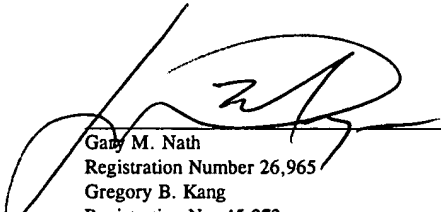
- a. ☒ Two checks in the aggregate amount of \$ 590.00 to cover the above fees are enclosed.
- b. ☐ Please charge my Deposit Account No. 14-0112 in the amount of \$ _____ to cover the above fees. (A duplicate copy of this sheet is enclosed.)
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 14-0112.
- d. Fees are to be charged to a credit card ☐ WARNING: Information on this form may become public ☐ Credit Card Information should not be included on this form. ☐ Provide credit card information and authorization on PTO-2038

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed to request that the application be restored to pending status.

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MAIL STOP PCT
Attorney Docket No. 27512U

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

KRELBAUM et al.

International Application No. PCT/IL2005/000027

Serial No. NOT YET ASSIGNED

International Filing Date: 9 January 2005 (9.01.2006)

Filed: July 7, 2006

For: **APPARATUS AND METHOD FOR MONITORING AND AUDITING ACTIVITY OF A
LEGACY ENVIRONMENT**

TRANSMITTAL LETTER

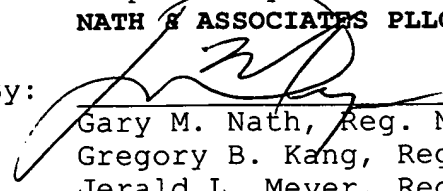
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450
Sir:

Submitted herewith for filing in the U.S. Patent and Trademark
Office is the following:

- (1) Transmittal Letter
- (2) Transmittal Letter To U.S. Designated/Elected Office
(DO/EO/US) Concerning Filing under 35 U.S.C. 371
- (3) International Publication No: WO 2005/067209 A1
International Publication Date: 21 July 2005 (21.07.2005)
which consists of 53 ages including:
2 cover sheets containing the Abstract,
34 pages Textual Specification,
5 pages of 37 claims,
12 sheets of Drawings;
- (4) PCT/IPEA/416 Notification of Transmittal of the International
Preliminary Report on Patentability;
- (5) **PCT/IPEA/409 International Preliminary Examination Report with
Annexes to be Examined;**
- (6) **Preliminary Amendment with Attachments A, B and C to be
examined;**
- (7) Executed Inventor's Declaration;
- (8) Executed Assignment to **INTELLINK LTD. of Or Yehuda, ISRAEL**
with the Recordation Cover Sheet;
- (9) PCT/ISA/220 Notification of Transmittal of the International
Search Report and the Written Opinion;
- (10) PCT/ISA/210 International Search Report;
- (11) PCT/ISA/237 Written Opinion;
- (12) Check No. 2398 **\$550.00** for Government Filing
Fee(\$150), Search Fee(\$200), Examination Fee(\$100), and 1
extra claim over 3(\$100) as a small entity;
- (13) Check No. 2399 **\$ 40.00** for Recordation Fee;
- (14) Postcard for early notification of serial number.

Respectfully submitted,
NATH & ASSOCIATES PLLC

By:


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Date: June 7, 2006
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